

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al. Art Unit : 3693
Serial No. : 10/077,182 Examiner : Chandler, Sara M
Filed : February 15, 2002 Conf. No. : 9522
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

Mail Stop AF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF JULY 24, 2007

Please amend the above-identified application as follows:

CERTIFICATE OF MAILING BY EFS-WEB FILING

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: October 31, 2007